



PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	<b>Application Number</b>	10/697,103	
	<b>Filing Date</b>	October 31, 2003	
	<b>First Named Inventor</b>	Steven A. Rogers	
	<b>Group Art Unit</b>	Unknown	
	<b>Examiner Name</b>	Unknown	
<b>Total Number of Pages in This Submission</b>	4	<b>Attorney Docket Number</b>	006389.00004

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____  <b>Remarks</b>	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  <b>6 References</b>

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Bradley C. Wright, Registration No. 38,061
Signature	
Date	December 2, 2003

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: _____			
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**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF:

Steven A. Rogers

Serial No.: 10/697,103

Filed: October 31, 2003

FOR: ENDPOINT PACKET SCHEDULING SYSTEM )  
PACKETS )

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)  
) Attorney Docket No. 006389.00004  
)  
)  
) Group Art Unit: Unknown  
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)  
) Examiner: Unknown  
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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450


Sir:

In accordance with 37 C.F.R. § 1.97 and 1.98, enclosed is a PTO Form-1449 listing art for consideration by the Examiner. We further certify under 37 C.F.R. § 1.98 (a) (2) (i) that the application was filed after June 30, 2003 therefore U.S. Patents are not provided. It is believed no fee is required to make this a complete and timely filing. However, if a fee is required, please charge our Deposit Account No. 19-0733.

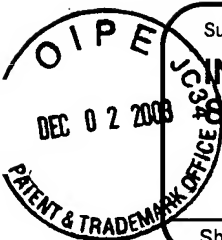
Consideration of this information is respectfully requested.

The submission of the listed documents is not intended as an admission that any such documents constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed documents as a competent reference against the claims of the present application.

Dated: December 2, 2003

By:   
Bradley C. Wright  
Registration No. 38,061

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Substitute for form 1449A/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 2

## **Complete if Known**

Application Number	10/697,103
Filing Date	October 31, 2003
First Named Inventor	Steven A. Rogers
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	006389.00004

## **U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
	1	US-5,859,979	1/1999	Tung et al.	
	2	US- 5,917,822	6/1999	Lyles et al.	
	3	US-5,455,865	10/1995	Perlman	
	4	US- 6,272,131 B1	8/2001	Ofek	
	5	US-6,359,885 B1	Kim et al.	3/2002	
	6	US- 6,426,814 B1	Berger et al.	7/2002	
	7	US-6,502,135 B1	Munger et al.	12/2002	
	8	US-6,574,193 B1	Kinrot	6/2003	
	9	US- 6,618,761 B2	Munger et al.	9/2003	
	10	US- 6,628,629 B1	Jorgensen	9/2003	
	11	US- 6,633,544 B1	Rexford et al.	10/2003	
	12	US-2002/0080719 A1	Parkvall et al.	6/2002	
	13	US- 2002/0086641 A1	Howard	7/2002	
	14	US-2002/0110129 A1	Matsuoka et al.	8/2002	
	15	US- 2002/0191592 A1	Rogers et al.	12/2002	
	16	US- 2003/0058880 A1	Sarkinen et al.	3/2003	
	17	US- 2003/0067903 A1	Jorgensen	4/2003	
	18	US- 6,556,564 B2	Rogers	4/2003	
		US-			
		US-			
		US-			

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
	19	WO 00/28705	05/18/2000	Odysia Systems, Inc.		
	20	WO 00/28706	05/18/2000	Odysia Systems, Inc.		
	21	WO 02/41505 A2	05/23/2002	Broadcom Corporation		

Examiner  
Signature

Date  
Considered

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 2 of 2

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Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	006389.00004

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	22	IEEE Communications Magazine 10/2003; Topics in Internet Technology; "Packet Sequencing a Deterministic Protocol for Qos in IP Networks"; Sean S. B. Moore and Curtis A. Siller, Jr., Cetacean Networks, Inc; Abstract pp 98-107	
	23	Self-Adjusted Network Transmission for Multimedia Data; Mei-Ling Shyu, Shu-Ching Chen, Hongli Luo; Abstract; 6pages;	
	24	The Problem of Upstream Traffic Synchronization in Passive Optical Networks; Glen Kramer; Abstract pp 1-7;	

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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